**ETHICS**

**Principal investigator:**

**Project title:**

INSTRUCTION: Please answer the questions below “YES” or “NO”. If it is needed please add description. For more information please check the [guide](http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/ethics/h2020_hi_ethics-self-assess_en.pdf).

1. HUMAN EMBRYOS/FOETUSES

1.1 Does your research involve Human Embryonic Stem Cells (hESCs)? Yes No

IF YES:

* + 1. Will they be directly derived from embryos within this project? Yes No
    2. Are they previously established cells lines? Yes No

1.2 Does your research involve the use of human embryos? Yes No

IF YES:

* + 1. Will the research lead to their destruction? Yes No

1.3 Does your research involve the use of human foetal tissues / cells? Yes No

2. HUMANS

2.1 Does your research involve human participants? Yes No

IF YES:

2.1.1 Are they volunteers for social or human sciences research? Yes No

2.1.2 Are they persons unable to give informed consent (including children/minors)? Yes No

2.1.3 Are they vulnerable individuals or groups? Yes No

2.1.4 Are they children/minors? Yes No

2.1.5 Are they patients? Yes No

2.1.6 Are they healthy volunteers for medical studies? Yes No

2.2 Does your research involve physical interventions on the study participants? Yes No

IF YES:

2.2.1 Does it involve invasive techniques (e.g. collection of human cells or tissues, surgical or medical interventions, invasive studies on the brain, TMS etc.)?

2.2.2 Does it involve collection of biological samples?

3. HUMAN CELLS / TISSUES

3.1 Does your research involve human cells or tissues (other than from Human Embryos/Foetuses, see section 1)? Yes No

IF YES:

3.1.1 Are they obtained within this project? Yes No

3.1.2 Are they available commercially? Yes No

3.1.3 Are they obtained from another project, laboratory or institution? Yes No

3.1.4 Are they obtained from a biobank? Yes No

4. PERSONAL DATA

4.1 Does your research involve processing of personal data? Yes No

IF YES:

4.1.1 Does it involve the processing of special categories of personal data (e.g. genetic, health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction.)? Yes No

4.1.2 Does it involve processing of genetic, biometric or health data? Yes No

4.1.3 Does it involve profiling, systematic monitoring of individuals or processing of large scale of special categories of data, intrusive methods of data processing (such as, tracking, surveillance, audio and video recording, geolocation tracking etc.) or any other data processing operation that may result in high risk to the rights and freedoms of the research participants? Yes No

4.2 Does your research involve further processing of previously collected personal data (including use of preexisting data sets or sources, merging existing data sets)? Yes No

4.3 Does your research involve publicly available data? Yes No

4.4 Is it planned to export personal data from the EU to non-EU countries? Yes No

4.5 Is it planned to import personal data from non-EU countries into the EU? Yes No

5. ANIMALS

5.1 Does your research involve animals? Yes No

IF YES:

5.1.1 Are they vertebrates? Yes No

5.1.2 Are they nonhuman primates (NHP) (e.g. monkeys, chimpanzees, gorillas, etc.)? Yes No

5.1.3 Are they genetically modified? Yes No

5.1.4 Are they cloned farm animals? Yes No

5.1.5 Are they an endangered species? Yes No

6. THIRD COUNTRIES

6.1 In case non-EU countries are involved, do the research related activities undertaken in these countries raise potential ethics issues? Yes No

6.2 Is it planned to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)? Yes No

6.3 Is it planned to import any material from non-EU countries into the EU? Yes No

If YES: Specify the materials and countries involved:

6.4 Is it planned to export any material from the EU to non-EU countries? Yes No

If YES: Specify material and countries involved:

6.5 In case research involves low and/or lower-middle income countries, are any benefit sharing actions planned? Yes No

6.6 Could the situation in the country put the individuals taking part in the research at risk? Yes No

7. ENVIRONMENT & HEALTH AND SAFETY

7.1 Does your research involve the use of elements that may cause harm to the environment, to animals or plants? Yes No

7.2 Does your research deal with endangered fauna and/or flora /protected areas? Yes No

7.3 Does your research involve the use of elements that may cause harm to humans, including research staff?

8. DUAL USE

8.1 Does this research involve dual-use items in the sense of Regulation 428/2009, or other items for which an authorisation is required? Yes No

9. EXCLUSIVE FOCUS ON CIVIL APPLICATIONS

9.1 Could your research raise concerns regarding the exclusive focus on civil applications? Yes No

10. MISUSE

10.1 Does your research have a potential for misuse of research results? Yes No

11. OTHER ETHICS ISSUES

11.1 Are there any other ethics issues that should be taken into consideration? Yes No

………………………………………..

(Principal investigator signature)